

## Environmental Protection Agency

## § 1065.15

seven samples from the alternate procedure to perform an  $F$ -test. The sets must meet the following requirements:

(i) Within each set, the values must be independent. That is, the probability of any given value in a set must be unchanged by knowledge of another value in that set. For example, your data would violate this requirement if a set showed a distinct increase or decrease that was dependent upon the time at which they were sampled.

(ii) For each set, the population of values from which you sampled must have a normal (i.e., Gaussian) distribution. If the population of values is not normally distributed, consult a statistician for a more appropriate statistical test, which may include transforming the data with a mathematical function or using some kind of non-parametric test.

(iii) The two sets must be independent of each other. That is, the probability of any given value in one set must be unchanged by knowledge of another value in the other set. For example, your data would violate this requirement if one value in a set showed a distinct increase or decrease that was dependent upon a value in the other set. Note that a trend of emission changes from an engine would not violate this requirement.

(iv) If you collect paired data for the paired  $t$ -test in paragraph (e)(2) in this section, use caution when selecting sets from paired data for the  $F$ -test. If you do this, select sets that do not mask the precision of the measurement procedure. We recommend selecting such sets only from data collected using the same engine, measurement instruments, and test cycle.

(5) Show that  $F$  is less than the critical  $F$  value,  $F_{crit}$ , tabulated in § 1065.602. If you have several  $F$ -test results from several sets of data, show that the mean  $F$ -test value is less than the mean critical  $F$  value for all the sets. Evaluate  $F_{crit}$ , based on the following confidence intervals:

(i) 90% for a proposed alternate procedure for laboratory testing.

(ii) 95% for a proposed alternate procedure for field testing.

EFFECTIVE DATE NOTE: At 73 FR 37290, June 30, 2008, § 1065.12 was amended by revising paragraphs (a) and (d)(1), effective July 7,

2008. For the convenience of the user, the revised text is set forth as follows:

### § 1065.12 Approval of alternate procedures.

(a) To get approval for an alternate procedure under § 1065.10(c), send the Designated Compliance Officer an initial written request describing the alternate procedure and why you believe it is equivalent to the specified procedure. Anyone may request alternate procedure approval. This means that an individual engine manufacturer may request to use an alternate procedure. This also means that an instrument manufacturer may request to have an instrument, equipment, or procedure approved as an alternate procedure to those specified in this part. We may approve your request based on this information alone, or, as described in this section, we may ask you to submit to us in writing supplemental information showing that your alternate procedure is consistently and reliably at least as accurate and repeatable as the specified procedure.

\* \* \* \* \*

(d) \* \* \*

(1) *Theoretical basis.* Give a brief technical description explaining why you believe the proposed alternate procedure should result in emission measurements equivalent to those using the specified procedure. You may include equations, figures, and references. You should consider the full range of parameters that may affect equivalence. For example, for a request to use a different  $\text{NO}_x$  measurement procedure, you should theoretically relate the alternate detection principle to the specified detection principle over the expected concentration ranges for  $\text{NO}$ ,  $\text{NO}_2$ , and interference gases. For a request to use a different PM measurement procedure, you should explain the principles by which the alternate procedure quantifies particulate mass similarly to the specified procedures.

### § 1065.15 Overview of procedures for laboratory and field testing.

This section outlines the procedures to test engines that are subject to emission standards.

(a) In the standard-setting part, we set brake-specific emission standards in  $\text{g}/(\text{kW}\cdot\text{hr})$  (or  $\text{g}/(\text{hp}\cdot\text{hr})$ ), for the following constituents:

(1) Total oxides of nitrogen,  $\text{NO}_x$ .

(2) Hydrocarbons (HC), which may be expressed in the following ways:

(i) Total hydrocarbons, THC.

(ii) Nonmethane hydrocarbons, NMHC, which results from subtracting methane ( $\text{CH}_4$ ) from THC.

(iii) Total hydrocarbon-equivalent, THCE, which results from adjusting THC mathematically to be equivalent on a carbon-mass basis.

(iv) Nonmethane hydrocarbon-equivalent, NMHCE, which results from adjusting NMHC mathematically to be equivalent on a carbon-mass basis.

(3) Particulate mass, PM.

(4) Carbon monoxide, CO.

(b) Note that some engines are not subject to standards for all the emission constituents identified in paragraph (a) of this section.

(c) We set brake-specific emission standards over test intervals, as follows:

(1) *Engine operation.* Engine operation is specified over a test interval. A test interval is the time over which an engine's total mass of emissions and its total work are determined. Refer to the standard-setting part for the specific test intervals that apply to each engine. Testing may involve measuring emissions and work during the following types of engine operation:

(i) *Laboratory testing.* Under this type of testing, you determine brake-specific emissions for duty-cycle testing by using an engine dynamometer in a laboratory. This typically consists of one or more test intervals, each defined by a duty cycle, which is a sequence of speeds and torques that an engine must follow. If the standard-setting part allows it, you may also simulate field testing by running on an engine dynamometer in a laboratory.

(ii) *Field testing.* This type of testing consists of normal in-use engine operation while an engine is installed in a vehicle. The standard-setting part specifies how test intervals are defined for field testing.

(2) *Constituent determination.* Determine the total mass of each constituent over a test interval by selecting from the following methods:

(i) *Continuous sampling.* In continuous sampling, measure the constituent's concentration continuously from raw or dilute exhaust. Multiply this concentration by the continuous (raw or dilute) flow rate at the emission sampling location to determine the constituent's flow rate. Sum the constituent's flow rate continuously over the

test interval. This sum is the total mass of the emitted constituent.

(ii) *Batch sampling.* In batch sampling, continuously extract and store a sample of raw or dilute exhaust for later measurement. Extract a sample proportional to the raw or dilute exhaust flow rate. You may extract and store a proportional sample of exhaust in an appropriate container, such as a bag, and then measure HC, CO, and NO<sub>x</sub> concentrations in the container after the test interval. You may deposit PM from proportionally extracted exhaust onto an appropriate substrate, such as a filter. In this case, divide the PM by the amount of filtered exhaust to calculate the PM concentration. Multiply batch sampled concentrations by the total (raw or dilute) flow from which it was extracted during the test interval. This product is the total mass of the emitted constituent.

(iii) *Combined sampling.* You may use continuous and batch sampling simultaneously during a test interval, as follows:

(A) You may use continuous sampling for some constituents and batch sampling for others.

(B) You may use continuous and batch sampling for a single constituent, with one being a redundant measurement. See §1065.201 for more information on redundant measurements.

(3) *Work determination.* Determine work over a test interval by one of the following methods:

(i) *Speed and torque.* For laboratory testing, synchronously multiply speed and brake torque to calculate instantaneous values for engine brake power. Sum engine brake power over a test interval to determine total work.

(ii) *Fuel consumed and brake-specific fuel consumption.* Directly measure fuel consumed or calculate it with chemical balances of the fuel, intake air, and exhaust. To calculate fuel consumed by a chemical balance, you must also measure either intake-air flow rate or exhaust flow rate. Divide the fuel consumed during a test interval by the brake-specific fuel consumption to determine work over the test interval. For laboratory testing, calculate the brake-specific fuel consumption using fuel consumed and speed and torque

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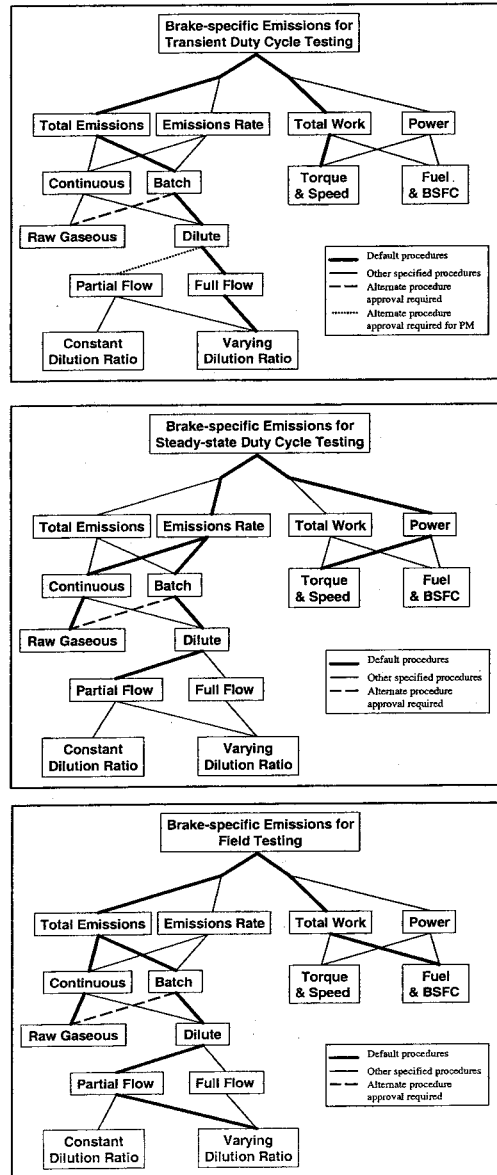
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over a test interval. For field testing, refer to the standard-setting part and §1065.915 for selecting an appropriate value for brake-specific fuel consumption.

(d) Refer to §1065.650 for calculations to determine brake-specific emissions.

(e) The following figure illustrates the allowed measurement configurations described in this part 1065:

Figure 1 of §1065.15—Default test procedures and other specified procedures.



EFFECTIVE DATE NOTE: At 73 FR 37290, June 30, 2008, §1065.15 was amended by revising paragraphs (c)(1) and (e) and adding paragraph (f), effective July 7, 2008. For the con-

venience of the user, the added and revised text is set forth as follows:

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**§ 1065.15 Overview of procedures for laboratory and field testing.**

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(c) \* \* \*

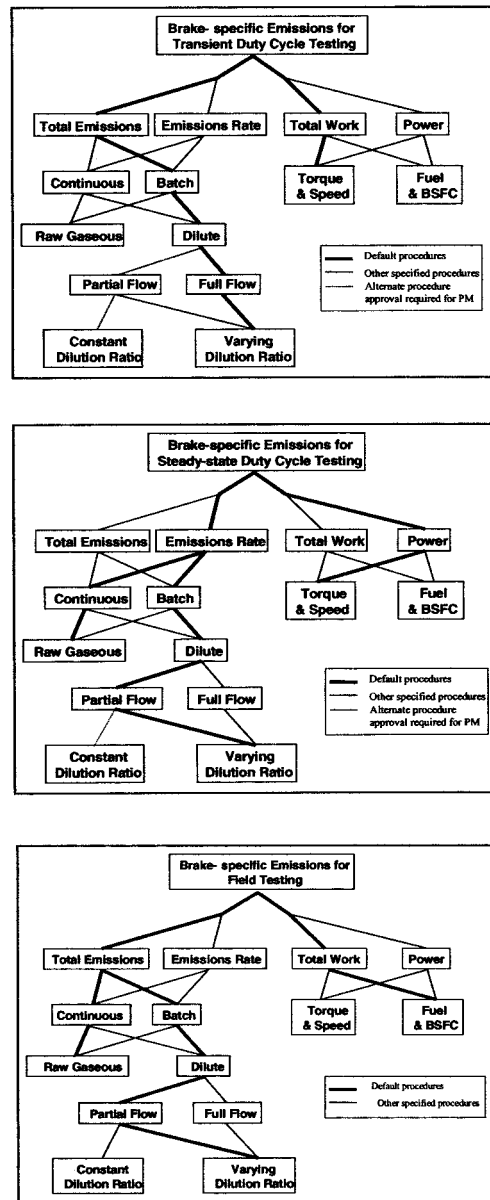
(1) Engine operation. Engine operation is specified over a test interval. A test interval is the time over which an engine's total mass of emissions and its total work are determined. Refer to the standard-setting part for

the specific test intervals that apply to each engine. Testing may involve measuring emissions and work in a laboratory-type environment or in the field, as described in paragraph (f) of this section.

\* \* \* \* \*

(e) The following figure illustrates the allowed measurement configurations described in this part 1065:

Figure 1 of §1065.15—Default test procedures and other specified procedures.



(f) This part 1065 describes how to test engines in a laboratory-type environment or in the field.

(1) This affects test intervals and duty cycles as follows:

(i) For laboratory testing, you generally determine brake-specific emissions for duty-

cycle testing by using an engine dynamometer in a laboratory or other environment. This typically consists of one or more test intervals, each defined by a duty cycle, which is a sequence of modes, speeds, and/or torques (or powers) that an engine must follow. If the standard-setting part allows it, you may also simulate field testing with an engine dynamometer in a laboratory or other environment.

(ii) Field testing consists of normal in-use engine operation while an engine is installed in a vehicle, equipment, or vessel rather than following a specific engine duty cycle. The standard-setting part specifies how test intervals are defined for field testing.

(2) The type of testing may also affect what test equipment may be used. You may use "lab-grade" test equipment for any testing. The term "lab-grade" refers to equipment that fully conforms to the applicable specifications of this part. For some testing you may alternatively use "field-grade" equipment. The term "field-grade" refers to equipment that fully conforms to the applicable specifications of subpart J of this part, but does not fully conform to other specifications of this part. You may use "field-grade" equipment for field testing. We also specify in this part and in the standard-setting parts certain cases in which you may use "field-grade" equipment for testing in a laboratory-type environment. (NOTE: Although "field-grade" equipment is generally more portable than "lab-grade" test equipment, portability is not relevant to whether equipment is considered to be "field-grade" or "lab-grade".)

#### § 1065.20 Units of measure and overview of calculations.

(a) *System of units.* The procedures in this part generally follow the International System of Units (SI), as detailed in NIST Special Publication 811, 1995 Edition, "Guide for the Use of the International System of Units (SI)," which we incorporate by reference in § 1065.1010. This document is available on the Internet at <http://physics.nist.gov/Pubs/SP811/contents.html>. Note the following exceptions:

(1) We designate rotational frequency,  $f_n$ , of an engine's crankshaft in revolutions per minute (rev/min), rather than the SI unit of reciprocal seconds (1/s). This is based on the commonplace use of rev/min in many engine dynamometer laboratories. Also, we use the symbol  $f_n$  to identify rotational frequency in rev/min, rather than the SI convention of using  $n$ . This

avoids confusion with our usage of the symbol  $n$  for a molar quantity.

(2) We designate brake-specific emissions in grams per kilowatt-hour (g/(kW·hr)), rather than the SI unit of grams per megajoule (g/MJ). This is based on the fact that engines are generally subject to emission standards expressed in g/kW·hr. If we specify engine standards in grams per horsepower-hour (g/(hp·hr)) in the standard-setting part, convert units as specified in paragraph (d) of this section.

(3) We designate temperatures in units of degrees Celsius (°C) unless a calculation requires an absolute temperature. In that case, we designate temperatures in units of Kelvin (K). For conversion purposes throughout this part, 0 °C equals 273.15 K.

(b) *Concentrations.* This part does not rely on amounts expressed in parts per million or similar units. Rather, we express such amounts in the following SI units:

(1) For ideal gases,  $\mu\text{mol/mol}$ , formerly ppm (volume).

(2) For all substances,  $\mu\text{m}^3/\text{m}^3$ , formerly ppm (volume).

(3) For all substances, mg/kg, formerly ppm (mass).

(c) *Absolute pressure.* Measure absolute pressure directly or calculate it as the sum of atmospheric pressure plus a differential pressure that is referenced to atmospheric pressure.

(d) *Units conversion.* Use the following conventions to convert units:

(1) *Testing.* You may record values and perform calculations with other units. For testing with equipment that involves other units, use the conversion factors from NIST Special Publication 811, as described in paragraph (a) of this section.

(2) *Humidity.* In this part, we identify humidity levels by specifying dew-point, which is the temperature at which pure water begins to condense out of air. Use humidity conversions as described in § 1065.645.

(3) *Emission standards.* If your standard is in g/(hp·hr) units, convert kW to hp before any rounding by using the conversion factor of 1 hp (550 ft·lbf/s) = 0.7456999 kW. Round the final value for comparison to the applicable standard.

(e) *Rounding.* Unless the standard-setting part specifies otherwise, round